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MOLECULAR STRUCTURE OF NUCLEIC ACIDS

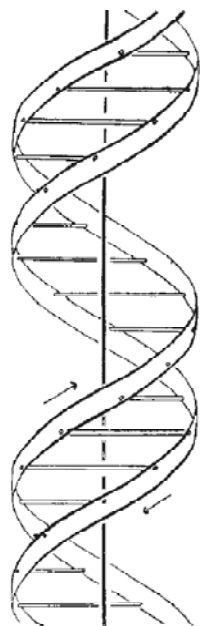
A Structure for Deoxyribose Nucleic Acid

WE wish to suggest a structure for the salt of deoxyribose nucleic acid (D.N.A.). This structure has novel features which are of considerable biological interest.

A structure for nucleic acid has already been proposed by Pauling and Corey¹. They kindly made their manuscript available to us in advance of publication. Their model consists of three intertwined chains, with the phosphates near the fibre axis, and the bases on the outside. In our opinion, this structure is unsatisfactory for two reasons: (1) We believe that the material which gives the X-ray diagrams is the salt, not the free acid. Without the acidic hydrogen atoms it is not clear what forces would hold the structure together, especially as the negatively charged phosphates near the axis will repel each other. (2) Some of the van der Waals distances appear to be too small.

Another three-chain structure has also been suggested by Fraser (in the press). In his model the phosphates are on the outside and the bases on the inside, linked together by hydrogen bonds. This structure as described is rather ill-defined, and for this reason we shall not comment on it.

We wish to put forward a radically different structure for the salt of deoxyribose nucleic acid. This structure has two helical chains each coiled round the same axis (see diagram). We have made the usual chemical assumptions, namely, that each chain consists of phosphate diester groups joining (β -D-deoxyribofuranose residues with 3',5' linkages. The two chains (but not their bases) are related by a dyad perpendicular to the fibre axis. Both chains follow right-handed helices, but owing to the dyad the sequences of the atoms in the two chains run in opposite directions. Each chain loosely resembles Furberg's² model No. 1; that is, the bases are on the inside of the helix and the phosphates on the outside. The configuration of the sugar and the atoms near it is close to Furberg's 'standard configuration', the sugar being roughly perpendicular to the attached base. There



This figure is purely diagrammatic. The two ribbons symbolize the two phosphate-sugar chains, and the horizontal rods the pairs of bases holding the chains together. The vertical line marks the fibre axis

is a residue on each chain every 3.4 Å. in the z -direction. We have assumed an angle of 36° between adjacent residues in the same chain, so that the structure repeats after 10 residues on each chain, that is, after 34 Å. The distance of a phosphorus atom from the fibre axis is 10 Å. As the phosphates are on the outside, cations have easy access to them.

The structure is an open one, and its water content is rather high. At lower water contents we would expect the bases to tilt so that the structure could become more compact.

The novel feature of the structure is the manner in which the two chains are held together by the purine and pyrimidine bases. The planes of the bases are perpendicular to the fibre axis. They are joined together in pairs, a single base from one chain being hydrogen-bonded to a single base from the other chain, so that the two lie side by side with identical z -co-ordinates. One of the pair must be a purine and the other a pyrimidine for bonding to occur. The hydrogen bonds are made as follows: purine position 1 to pyrimidine position 1; purine position 6 to pyrimidine position 6.

If it is assumed that the bases only occur in the structure in the most plausible tautomeric forms (that is, with the keto rather than the enol configurations) it is found that only specific pairs of bases can bond together. These pairs are: adenine (purine) with thymine (pyrimidine), and guanine (purine) with cytosine (pyrimidine).

In other words, if an adenine forms one member of a pair, on either chain, then on these assumptions the other member must be thymine; similarly for guanine and cytosine. The sequence of bases on a single chain does not appear to be restricted in any way. However, if only specific pairs of bases can be formed, it follows that if the sequence of bases on one chain is given, then the sequence on the other chain is automatically determined.

It has been found experimentally^{3,4} that the ratio of the amounts of adenine to thymine, and the ratio of guanine to cytosine, are always very close to unity for deoxyribose nucleic acid.

It is probably impossible to build this structure with a ribose sugar in place of the deoxyribose, as the extra oxygen atom would make too close a van der Waals contact.

The previously published X-ray data^{5,6} on deoxyribose nucleic acid are insufficient for a rigorous test of our structure. So far as we can tell, it is roughly compatible with the experimental data, but it must be regarded as unproved until it has been checked against more exact results. Some of these are given in the following, communications. We were not aware of the details of the results presented there when we devised our structure, which rests mainly though not entirely on published experimental data and stereochemical arguments.

It has not escaped our notice that the specific pairing we have postulated immediately suggests a possible copying mechanism for the genetic material.

Full details of the structure, including the conditions assumed in building it, together with a set of co-ordinates for the atoms, will be published elsewhere.

We are much indebted to Dr. Jerry Donohue for constant advice and criticism, especially on interatomic distances. We have also been stimulated by a knowledge of the general nature of the unpublished experimental results and ideas of Dr. M. H. F. Wilkins, Dr. R. E. Franklin and their co-workers at

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J. D. WATSON
F. H. C. CRICK

Medical Research Council Unit for the
Study of the Molecular Structure of
Biological Systems,
Cavendish Laboratory, Cambridge.
April 2.

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Molecular Structure of Deoxypentose Nucleic Acids

WHILE the biological properties of deoxypentose nucleic acid suggest a molecular structure containing great complexity, X-ray diffraction studies described here (cf. Astbury¹) show the basic molecular configuration has great simplicity. The purpose of this communication is to describe, in a preliminary way, some of the experimental evidence for the polynucleotide chain configuration being helical, and existing in this form when in the natural state. A fuller account of the work will be published shortly.

The structure of deoxypentose nucleic acid is the same in all species (although the nitrogen base ratios alter considerably) in nucleoprotein, extracted or in cells, and in purified nucleate. The same linear group of polynucleotide chains may pack together parallel in different ways to give crystalline¹⁻³, semi-crystalline or paracrystalline material. In all cases the X-ray diffraction photograph consists of two regions, one determined largely by the regular spacing of nucleotides along the chain, and the other by the longer spacings of the chain configuration. The sequence of different nitrogen bases along the chain is not made visible.

Oriented paracrystalline deoxypentose nucleic acid ('structure B' in the following communication by Franklin and Gosling) gives a fibre diagram as shown in Fig. 1 (cf. ref. 4). Astbury suggested that the strong 3.4-A. reflexion corresponded to the internucleotide repeat along the fibre axis. The ~ 34 A. layer lines, however, are not due to a repeat of a polynucleotide composition, but to the chain configuration repeat, which causes strong diffraction as the nucleotide chains have higher density than the interstitial water. The absence of reflexions on or near the meridian immediately suggests a helical structure with axis parallel to fibre length.

Diffraction by Helices

It may be shown⁵ (also Stokes, unpublished) that the intensity distribution in the diffraction pattern of a series of points equally spaced along a helix is given by the squares of Bessel functions. A uniform continuous helix gives a series of layer lines of spacing corresponding to the helix pitch, the intensity distribution along the n th layer line being proportional to the square of J_n , the n th order Bessel function. A straight line may be drawn approximately through

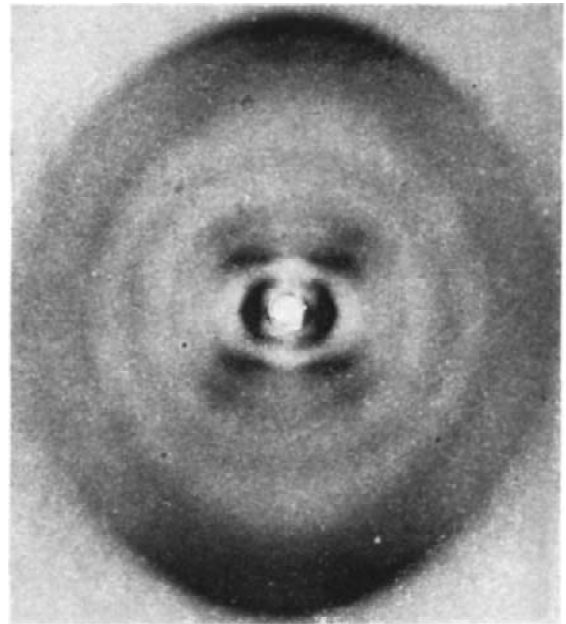


Fig. 1. Fibre diagram of deoxypentose nucleic acid from *B. coli*.
Fibre axis vertical

the innermost maxima of each Bessel function and the origin. The angle this line makes with the equator is roughly equal to the angle between an element of the helix and the helix axis. If a unit repeats n times along the helix there will be a meridional reflexion (J_0^2) on the n th layer line. The helical configuration produces side-bands on this fundamental frequency, the effect⁵ being to reproduce the intensity distribution about the origin around the new origin, on the n th layer line, corresponding to C in Fig. 2.

We will now briefly analyse in physical terms some of the effects of the shape and size of the repeat unit or nucleotide on the diffraction pattern. First, if the nucleotide consists of a unit having circular symmetry about an axis parallel to the helix axis, the whole diffraction pattern is modified by the form factor of the nucleotide. Second, if the nucleotide consists of a series of points on a radius at right-angles to the helix axis, the phases of radiation scattered by the helices of different diameter passing through each point are the same. Summation of the corresponding Bessel functions gives reinforcement for the inner-

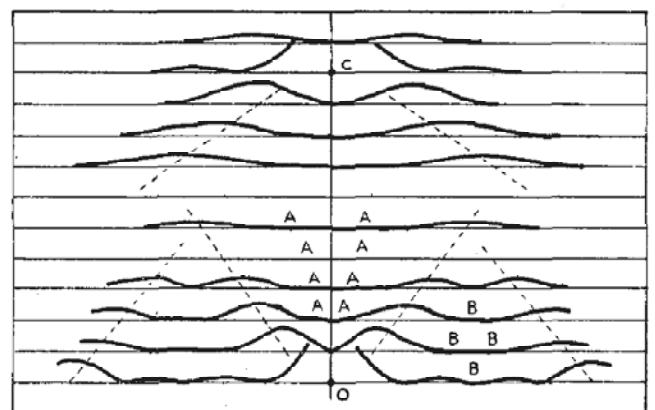


Fig. 2. Diffraction pattern of system of helices corresponding to structure of deoxypentose nucleic acid. The squares of Bessel functions are plotted about 0 on the equator and on the first, second, third and fifth layer lines for half of the nucleotide mass at 20 A. diameter and remainder distributed along a radius, the mass at a given radius being proportional to the radius. About C on the tenth layer line similar functions are plotted for an outer diameter of 12 A.

most maxima and, in general, owing to phase difference, cancellation of all other maxima. Such a system of helices (corresponding to a spiral staircase with the core removed) diffracts mainly over a limited angular range, behaving, in fact, like a periodic arrangement of flat plates inclined at a fixed angle to the axis. Third, if the nucleotide is extended as an arc of a circle in a plane at right-angles to the helix axis, and with centre at the axis, the intensity of the system of Bessel function layer-line streaks emanating from the origin is modified owing to the phase differences of radiation from the helices drawn through each point on the nucleotide. The form factor is that of the series of points in which the helices intersect a plane drawn through the helix axis. This part of the diffraction pattern is then repeated as a whole with origin at *C* (Fig. 2). Hence this aspect of nucleotide shape affects the central and peripheral regions of each layer line differently.

Interpretation of the X-Ray Photograph

It must first be decided whether the structure consists of essentially one helix giving an intensity distribution along the layer lines corresponding to J_1, J_2, J_3, \dots , or two similar co-axial helices of twice the above size and relatively displaced along the axis a distance equal to half the pitch giving J_2, J_4, J_6, \dots , or three helices, etc. Examination of the width of the layer-line streaks suggests the intensities correspond more closely to J_1^2, J_2^2, J_3^2 than to $J_2^2, J_4^2, J_6^2, \dots$. Hence the dominant helix has a pitch of ~ 34 A., and, from the angle of the helix, its diameter is found to be ~ 20 A. The strong equatorial reflexion at ~ 17 A. suggests that the helices have a maximum diameter of ~ 20 A. and are hexagonally packed with little interpenetration. Apart from the width of the Bessel function streaks, the possibility of the helices having twice the above dimensions is also made unlikely by the absence of an equatorial reflexion at ~ 34 A. To obtain a reasonable number of nucleotides per unit volume in the fibre, two or three intertwined coaxial helices are required, there being ten nucleotides on one turn of each helix.

The absence of reflexions on or near the meridian (an empty region AAA on Fig. 2) is a direct consequence of the helical structure. On the photograph there is also a relatively empty region on and near the equator, corresponding to region BBB on Fig. 2. As discussed above, this absence of secondary Bessel function maxima can be produced by a radial distribution of the nucleotide shape. To make the layer-line streaks sufficiently narrow, it is necessary to place a large fraction of the nucleotide mass at ~ 20 A. diameter. In Fig. 2 the squares of Bessel functions are plotted for half the mass at 20 A. diameter, and the rest distributed along a radius, the mass at a given radius being proportional to the radius.

On the zero layer line there appears to be a marked J_{10}^2 , and on the first, second and third layer lines, $J_9^2 + J_{11}^2, J_8^2 + J_{12}^2$, etc., respectively. This means that, in projection on a plane at right-angles to the fibre axis, the outer part of the nucleotide is relatively concentrated, giving rise to high-density regions spaced *c.* 6 A. apart around the circumference of a circle of 20 A. diameter. On the fifth layer line two J_5 functions overlap and produce a strong reflexion. On the sixth, seventh and eighth layer lines the maxima correspond to a helix of diameter ~ 12 A. Apparently it is only the central region of the helix structure which is well divided by the 3.4-A. spacing, the outer

parts, of the nucleotide overlapping to form a continuous helix. This suggests the presence of nitrogen bases arranged like a pile of pennies¹ in the central regions of the helical system.

There is a marked absence of reflexions on layer lines beyond the tenth. Disorientation in the specimen will cause more extension along the layer lines of the Bessel function streaks on the eleventh, twelfth and thirteenth layer lines than on the ninth, eighth and seventh. For this reason the reflexions on the higher-order layer lines will be less readily visible. The form factor of the nucleotide is also probably causing diminution of intensity in this region. Tilting of the nitrogen bases could have such an effect.

Reflexions on the equator are rather inadequate for determination of the radial distribution of density in the helical system. There are, however, indications that a high-density shell, as suggested above, occurs at diameter ~ 20 A.

The material is apparently not completely paracrystalline, as sharp spots appear in the central region of the second layer line, indicating a partial degree of order of the helical units relative to one another in the direction of the helix axis. Photographs similar to Fig. 1 have been obtained from sodium nucleate from calf and pig thymus, wheat germ, herring sperm, human tissue and T_2 bacteriophage. The most marked correspondence with Fig. 2 is shown by the exceptional photograph obtained by our colleagues, R. E. Franklin and R. G. Gosling, from calf thymus deoxyribose nucleate (see following communication).

It must be stressed that some of the above discussion is not without ambiguity, but in general there appears to be reasonable agreement between the experimental data and the kind of model described by Watson and Crick (see also preceding communication).

It is interesting to note that if there are ten phosphate groups arranged on each helix of diameter 20 A. and pitch 34 A., the phosphate ester backbone chain is in an almost fully extended state. Hence, when sodium nucleate fibres are stretched³, the helix is evidently extended in length like a spiral spring in tension.

Structure in vivo

The biological significance of a two-chain nucleic acid unit has been noted (see preceding communication). The evidence that the helical structure discussed above does, in fact, exist in intact biological systems is briefly as follows:

Sperm heads. It may be shown that the intensity of the X-ray spectra from crystalline sperm heads is determined by the helical form-function in Fig. 2. Centrifuged trout semen give the same pattern as the dried and rehydrated or washed sperm heads used previously⁶. The sperm head fibre diagram is also given by extracted or synthetic¹ nucleoprotamine or extracted calf thymus nucleohistone.

Bacteriophage. Centrifuged wet pellets of T_2 phage photographed with X-rays while sealed in a cell with mica windows give a diffraction pattern containing the main features of paracrystalline sodium nucleate as distinct from that of crystalline nucleoprotein. This confirms current ideas of phage structure.

Transforming principle (in collaboration with H. Ephrussi-Taylor). Active deoxyribose nucleate allowed to dry at ~ 60 per cent humidity has the same crystalline structure as certain samples³ of sodium thymonucleate.

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M. H. F. WILKINS

Medical Research Council Biophysics
Research Unit,

A. R. STOKES
H. R. WILSON

Wheatstone Physics Laboratory,
King's College, London.
April 2.

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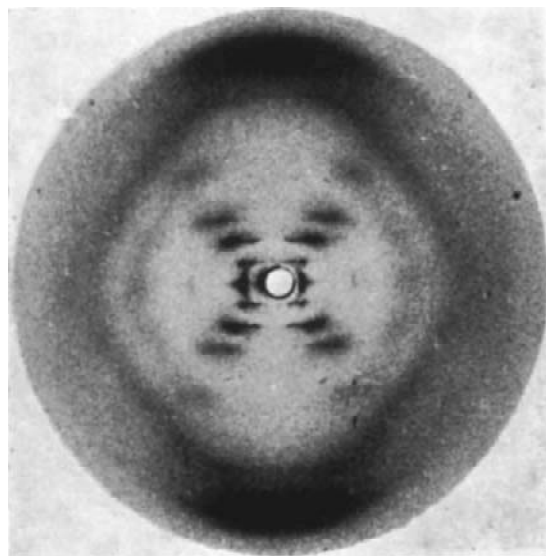
Molecular Configuration in Sodium Thymonucleate

SODIUM thymonucleate fibres give two distinct types of X-ray diagram. The first corresponds to a crystalline form, structure A, obtained at about 75 per cent relative humidity ; a study of this is described in detail elsewhere¹. At higher humidities a different structure, structure B, showing a lower degree of order, appears and persists over a wide range of ambient humidity. The change from A to B is reversible. The water content of structure B fibres which undergo this reversible change may vary from 40–50 per cent to several hundred per cent of the dry weight. Moreover, some fibres never show structure A, and in these structure B can be obtained with an even lower water content.

The X-ray diagram of structure B (see photograph) shows in striking manner the features characteristic of helical structures, first worked out in this laboratory by Stokes (unpublished) and by Crick, Cochran and Vand². Stokes and Wilkins were the first to propose such structures for nucleic acid as a result of direct studies of nucleic acid fibres, although a helical structure had been previously suggested by Furberg (thesis, London, 1949) on the basis of X-ray studies of nucleosides and nucleotides.

While the X-ray evidence cannot, at present, be taken as direct proof that the structure is helical, other considerations discussed below make the existence of a helical structure highly probable.

Structure B is derived from the crystalline structure A when the sodium thymonucleate fibres take up quantities of water in excess of about 40 per cent of their weight. The change is accompanied by an increase of about 30 per cent in the length of the fibre, and by a substantial re-arrangement of the molecule. It therefore seems reasonable to suppose that in structure B the structural units of sodium thymonucleate (molecules or groups of molecules) are relatively free from the influence of neighbouring



Sodium deoxyribose nucleate from calf thymus. Structure B

molecules, each unit being shielded by a sheath of water. Each unit is then free to take up its least-energy configuration independently of its neighbours and, in view of the nature of the long-chain molecules involved, it is highly likely that the general form will be helical³. If we adopt the hypothesis of a helical structure, it is immediately possible, from the X-ray diagram of structure B, to make certain deductions as to the nature and dimensions of the helix.

The innermost maxima on the first, second, third and fifth layer lines lie approximately on straight lines radiating from the origin. For a smooth single-strand helix the structure factor on the n th layer line is given by :

$$F_n = J_n(2\pi rR) \exp i n(\psi + \frac{1}{2}\pi),$$

where $J_n(u)$ is the n th-order Bessel function of u , r is the radius of the helix, and R and ψ are the radial and azimuthal co-ordinates in reciprocal space²; this expression leads to an approximately linear array of intensity maxima of the type observed, corresponding to the first maxima in the functions J_1, J_2, J_3 , etc.

If, instead of a smooth helix, we consider a series of residues equally spaced along the helix, the transform in the general case treated by Crick, Cochran and Vand is more complicated. But if there is a whole number, m , of residues per turn, the form of the transform is as for a smooth helix with the addition, only, of the same pattern repeated with its origin at heights mc^* , $2mc^*$. . . etc. (c is the fibre-axis period).

In the present case the fibre-axis period is 34 Å. and the very strong reflexion at 3.4 Å. lies on the tenth layer line. Moreover, lines of maxima radiating from the 3.4-Å. reflexion as from the origin are visible on the fifth and lower layer lines, having a J_5 maximum coincident with that of the origin series on the fifth layer line. (The strong outer streaks which apparently radiate from the 3.4-Å. maximum are not, however, so easily explained.) This suggests strongly that there are exactly 10 residues per turn of the helix. If this is so, then from a measurement of R_n the position of the first maximum on the n th layer line (for $n \leq 5$), the radius of the helix, can be obtained. In the present instance, measurements of R_1, R_2, R_3 and R_5 all lead to values of r of about 10 Å.

Since this linear array of maxima is one of the strongest features of the X-ray diagram, we must conclude that a crystallographically important part of the molecule lies on a helix of this diameter. This can only be the phosphate groups or phosphorus atoms.

If ten phosphorus atoms lie on one turn of a helix of radius 10 Å., the distance between neighbouring phosphorus atoms in a molecule is 7.1 Å. This corresponds to the P . . . P distance in a fully extended molecule, and therefore provides a further indication that the phosphates lie on the outside of the structural unit.

Thus, our conclusions differ from those of Pauling and Corey⁴, who proposed for the nucleic acids a helical structure in which the phosphate groups form a dense core.

We must now consider briefly the equatorial reflexions. For a single helix the series of equatorial maxima should correspond to the maxima in $J_0(2\pi rR)$. The maxima on our photograph do not, however, fit this function for the value of r deduced above. There is a very strong reflexion at about 24 Å. and then only a faint sharp reflexion at 9.0 Å. and two diffuse bands around 5.5 Å. and 4.0 Å. This lack of agreement is, however, to be expected, for we know that the helix so far considered can only be the most important member of a series of coaxial helices of different radii; the non-phosphate parts of the molecule will lie on inner co-axial helices, and it can be shown that, whereas these will not appreciably influence the innermost maxima on the layer lines, they may have the effect of destroying or shifting both the equatorial maxima and the outer maxima on other layer lines.

Thus, if the structure is helical, we find that the phosphate groups or phosphorus atoms lie on a helix of diameter about 20 Å., and the sugar and base groups must accordingly be turned inwards towards the helical axis.

Considerations of density show, however, that a cylindrical repeat unit of height 34 Å. and diameter 20 Å. must contain many more than ten nucleotides.

Since structure *B* often exists in fibres with low water content, it seems that the density of the helical unit cannot differ greatly from that of dry sodium thymonucleate, 1.63 gm./cm.³^{1,5}, the water in fibres of high water-content being situated outside the structural unit. On this basis we find that a cylinder of radius 10 Å. and height 34 Å. would contain thirty-two nucleotides. However, there might possibly be some slight inter-penetration of the cylindrical units in the dry state making their effective radius rather less. It is therefore difficult to decide, on the basis of density measurements alone, whether one repeating unit contains ten nucleotides on each of two or on each of three co-axial molecules. (If the effective radius were 8 Å. the cylinder would contain twenty nucleotides.) Two other arguments, however, make it highly probable that there are only two co-axial molecules.

First, a study of the Patterson function of structure *A*, using superposition methods, has indicated⁶ that there are only two chains passing through a primitive unit cell in this structure. Since the $A \rightleftharpoons B$ transformation is readily reversible, it seems very unlikely that the molecules would be grouped in threes in structure *B*. Secondly, from measurements on the X-ray diagram of structure *B* it can readily be shown that, whether the number of chains per unit is two or three, the chains are not equally spaced along the

fibre axis. For example, three equally spaced chains would mean that the n th layer line depended on J_{3n} and would lead to a helix of diameter about 60 Å. This is many times larger than the primitive unit cell in structure *A*, and absurdly large in relation to the dimensions of nucleotides. Three unequally spaced chains, on the other hand, would be crystallographically non-equivalent, and this, again, seems unlikely. It therefore seems probable that there are only two co-axial molecules and that these are unequally spaced along the fibre axis.

Thus, while we do not attempt to offer a complete interpretation of the fibre-diagram of structure *B*, we may state the following conclusions. The structure is probably helical. The phosphate groups lie on the outside of the structural unit, on a helix of diameter about 20 Å. The structural unit probably consists of two co-axial molecules which are not equally spaced along the fibre axis, their mutual displacement being such as to account for the variation of observed intensities of the innermost maxima on the layer lines; if one molecule is displaced from the other by about three-eighths of the fibre-axis period, this would account for the absence of the fourth layer line maxima and the weakness of the sixth. Thus our general ideas are not inconsistent with the model proposed by Watson and Crick in the preceding communication.

The conclusion that the phosphate groups lie on the outside of the structural unit has been reached previously by quite other reasoning¹. Two principal lines of argument were invoked. The first derives from the work of Gulland and his collaborators⁷, who showed that even in aqueous solution the —CO and —NH₂ groups of the bases are inaccessible and cannot be titrated, whereas the phosphate groups are fully accessible. The second is based on our own observations¹ on the way in which the structural units in structures *A* and *B* are progressively separated by an excess of water, the process being a continuous one which leads to the formation first of a gel and ultimately to a solution. The hygroscopic part of the molecule may be presumed to lie in the phosphate groups ((C₂H₆O)₂PO₂Na and (C₃H₇O)₂PO₂Na are highly hygroscopic⁸), and the simplest explanation of the above process is that these groups lie on the outside of the structural units. Moreover, the ready availability of the phosphate groups for interaction with proteins can most easily be explained in this way.

We are grateful to Prof. J. T. Randall for his interest and to Drs. F. H. C. Crick, A. R. Stokes and M. H. F. Wilkins for discussion. One of us (R. E. F.) acknowledges the award of a Turner and Newall Fellowship.

ROSALIND E. FRANKLIN*
R. G. GOSLING

Wheatstone Physics Laboratory,
King's College, London.
April 2.

* Now at Birkbeck College Research Laboratories, 21 Torrington Square, London, W.C.1.

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